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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/814,995	03/31/2004	Nicholas D. Spencer	ETH 111	8183
23579 Pabst Patent Gr	7590 02/22/201 <sup>1</sup> oup LLP	EXAMINER		
1545 PEACHT	REE STREET NE	YANG, NELSON C		
	SUITE 320 ATLANTA, GA 30309			PAPER NUMBER
,			1641	
			MAIL DATE	DELIVERY MODE
			02/22/2010	PAPER

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)			
	10/814,995	SPENCER ET AL.			
Office Action Summary	Examiner	Art Unit			
	Nelson Yang	1641			
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address			
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be time will apply and will expire SIX (6) MONTHS from cause the application to become ABANDONEI	lely filed the mailing date of this communication. (35 U.S.C. § 133).			
Status					
1) Responsive to communication(s) filed on 21 Oc	ctober 2009.				
<i>i</i> —					
closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.					
Disposition of Claims					
4)⊠ Claim(s) <u>1-18</u> is/are pending in the application.					
4a) Of the above claim(s) <u>5,6,9 and 14</u> is/are withdrawn from consideration.					
5) Claim(s) is/are allowed.					
6) Claim(s) <u>1-4,7,8,10-13 and 15-18</u> is/are rejecte	d.				
7) Claim(s) is/are objected to.					
8) Claim(s) are subject to restriction and/or	election requirement				
are subject to restriction and/or	cicolon requirement.				
Application Papers					
9)☐ The specification is objected to by the Examine	r.				
10)⊠ The drawing(s) filed on <u>03 October 2005</u> is/are:	a)⊠ accepted or b)□ objected	to by the Examiner.			
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).					
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).					
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.					
Priority under 35 U.S.C. § 119					
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No.</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> </ul>					
* See the attached detailed Office action for a list of the control of the contro	of the certified copies not receive  4)	(PTO-413) ite			

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#### **DETAILED ACTION**

## Response to Amendment

- 1. Applicant's amendment of claims 1, 18, is acknowledged and has been entered.
- 2. Claims 1-4, 7-8, 10-13, 15-18 are currently pending and under examination.
- 3. Claims 5, 6, 9, 14, are withdrawn.

# Claim Rejections - 35 USC § 102

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 5. Claims 1-4, 10, 12, 17, 18 are rejected under 35 U.S.C. 102(b) as being anticipated by Morgenthaler et al. [Morgenthaler et al., Surfaces with a hydrophobicity gradient: possible applications in biological testing, 2001, European Cells and Materials, 6 (supplement 1): pp. 69].

With respect to claims 1, 3, 10, Morgenthaler et al. teach a method of creating surfaces with a hydrophobicity gradients comprising immersion of substrates in a thiol solution and preparing wettability gradients on the substrate using a linear motion drive to control the immersion, wherein the adsorption kinetics can be controlled by the concentration of the solution, the solvent, and the immersion time (p.69, col.1).

6. With respect to claim 2, 12, Morgenthaler et al. teach immersion of the substrate in methyl-terminated solutions and subsequent saturation in hydroyl-terminated solution.

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7. With respect to claim 4, Morgenthaler et al. teach silicon wafers coated with gold (p.69, col.1).

8. With respect to claim 18, Morgenthaler et al. teach that the resulting surfaces can be used for biomolecular interactions, diagnostics, or cell-motility studies (p.69, col.1).

### Claim Rejections - 35 USC § 103

- 9. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 10. Claims 7, 8, 16, are rejected under 35 U.S.C. 103(a) as being unpatentable over Morgenthaler et al. [Morgenthaler et al., Surfaces with a hydrophobicity gradient: possible applications in biological testing, 2001, European Cells and Materials, 6 (supplement 1): pp. 69] in view of Genzer et al. [US 6,770,323].

With respect to 7, 8, Morgenthaler et al. teach a method of creating surfaces with a hydrophobicity gradients comprising immersion of substrates in a thiol solution and preparing wettability gradients on the substrate using a linear motion drive to control the immersion, wherein the adsorption kinetics can be controlled by the concentration of the solution, the solvent, and the immersion time (p.69, col.1). Morgenthaler et al. further teach that by varying the concentration of solutions, the sequence of the immersion, and the immersion speed of the substrate, wettability gradients of different slopes can be generated (p. 69, col.1). Morgenthaler

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et al. do not teach that the surface of the substrate is an oxide or hydrophobic polymer, and that the first and second solutions comprise polyelectrolyte solutions.

Genzer et al., however, teach that patterned substrates may be used as detection targets, and that one can produce a complex gradient that changes from hydrophobic to hydrophobic in one direction and cationic to anionic in the other direction, such that a complex biomolecules will choose an optimum combination of hydrophobic/cationic forces, and one can conveniently measure the adsorption properties of complex molecular species (column 14, lines 54-65). Genzer et al. further teach that these gradients may be created using a silicon oxide covered wafers (column 15, lines 34-40) or PDMS substrates, which are hydrophobic substrates (column 5, lines 10-20), and coating the substrates with polyelectrolyte solutions, such as oligonucleotides (column 8, lines 5-15). Genzer further teaches that the resulting patterned substrates can be used as detection targets (i.e. for analysis comprising exposing the surfacechemical gradient to a molecule). (column 14, lines 54-65).

Therefore, one of ordinary skill in the art at the time of the invention would have been motivated to have patterned substrates with a complex gradient that changes from hydrophobic to hydrophoilic in one direction and cationic to anionic in the other direction, such that a person of ordinary skill in the art would be able to conveniently measure different adsorption properties of complex molecular species simultaneously.

Genzer et al., however, teach that patterned substrates may be used as detection targets, and that one can produce a complex gradient that changes from hydrophobic to hydrophobic in one direction and cationic to anionic in the other direction, such that a complex biomolecules will choose an optimum combination of hydrophobic/cationic forces, and one can conveniently Art Unit: 1641

measure the adsorption properties of complex molecular species (column 14, lines 54-65). Genzer et al. further teach that these gradients may be created using a silicon oxide covered wafers (column 15, lines 34-40) or PDMS substrates, which are hydrophobic substrates (column 5, lines 10-20), and coating the substrates with polyelectrolyte solutions, such as oligonucleotides (column 8, lines 5-15). Genzer further teaches that the resulting patterned substrates can be used as detection targets (i.e. for analysis comprising exposing the surface-chemical gradient to a molecule). (column 14, lines 54-65).

Therefore, one of ordinary skill in the art at the time of the invention would have been motivated to have patterned substrates with a complex gradient that changes from hydrophobic to hydrophobic in one direction and cationic to anionic in the other direction, such that a person of ordinary skill in the art would be able to conveniently measure different adsorption properties of complex molecular species simultaneously.

11. Claims 11, 13, 15-17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Morgenthaler et al. [Morgenthaler et al., Surfaces with a hydrophobicity gradient: possible applications in biological testing, 2001, European Cells and Materials, 6 (supplement 1): pp. 69] in view of Kochersperger et al [US 5,656,034].

Morgenthaler et al. teach a method of creating surfaces with a hydrophobicity gradients comprising immersion of substrates in a thiol solution and preparing wettability gradients on the substrate using a linear motion drive to control the immersion, wherein the adsorption kinetics can be controlled by the concentration of the solution, the solvent, and the immersion time (p.69, col.1). Morgenthaler et al. further teach that by varying the concentration of solutions, the sequence of the immersion, and the immersion speed of the substrate, wettability gradients of

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different slopes can be generated (p. 69, col.1). Morgenthaler et al. further teach forming a wettability gradient on a substrate comprising single-component gradients (which would result in decreasing concentrations from a first area to a second area on the substrate) of methylterminated thiols (p.69, col.1). Morgenthaler et al. do not teach a second adsorbate increasing in concentration from the first area to the second area on the substrate.

Genzer et al., however, teach that patterned substrates may be used as detection targets, and that one can produce a complex gradient that changes from hydrophobic to hydropholic in one direction and cationic to anionic in the other direction, such that a complex biomolecules will choose an optimum combination of hydrophobic/cationic forces, and one can conveniently measure the adsorption properties of complex molecular species (column 14, lines 54-65).

Genzer et al. further teach that these gradients may be created using a silicon oxide covered wafers (column 15, lines 34-40) or PDMS substrates, which are hydrophobic substrates (column 5, lines 10-20), and coating the substrates with polyelectrolyte solutions, such as oligonucleotides (column 8, lines 5-15). Genzer further teaches that the resulting patterned substrates can be used as detection targets (i.e. for analysis comprising exposing the surface-chemical gradient to a molecule). (column 14, lines 54-65).

Kochersperger further teaches the step of using a syringe pump to deliver a solution, in order to provide a fluid dispensing means having an accurate volumetric fluid delivery (column 1, lines 42-48), which would result in a radially symmetrical gradient in an amount increasing from a first area on a substrate to a second area on a substrate.

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify the method of Morgenthaler et al. with the step of using a syringe pump to

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deliver a solution, as taught by Kochersperger, in order to provide a fluid dispensing means that has an accurate volumetric fluid delivery. The advantage of providing accurate volumetric amounts provides the motivation to combine the teachings of Morgenthaler et al. and Kochersperger. Furthermore, one of ordinary skill in the art at the time of the invention would have been motivated to have patterned substrates with a complex gradient that changes from hydrophobic to hydrophobic in one direction and cationic to anionic in the other direction, and a second radially symmetrical gradient, such that a person of ordinary skill in the art would be able to conveniently measure different adsorption properties of complex molecular species simultaneously.

12. With respect to claim 17, Morgenthaler et al. teach forming a wettability gradient on a substrate comprising single-component gradients (which would result in decreasing concentrations from a first area to a second area on the substrate) of methyl-terminated thiols, followed by full immersion in a solution of hydroxyl-terminated thiols (p.69, col.1).

### Response to Arguments

13. Applicant's arguments, see p. 13-14, filed October 21, 2009, with respect to the rejection(s) of claim(s) 1, 2, 4, 7, 10, 12-13 under 35 U.S.C. 102(b) as being anticipated by Natan et al. [US 6,242,264] have been fully considered and are persuasive. Therefore, the rejection has been withdrawn. However, upon further consideration, a new ground(s) of rejection is made as discussed above.

### Conclusion

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14. No claims are allowed.

15. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Nelson Yang whose telephone number is (571)272-0826. The

examiner can normally be reached on 8:30-5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Mark Shibuya can be reached on (571)272-0806. The fax phone number for the

organization where this application or proceeding is assigned is 571-273-8300.

16. Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR

system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would

like assistance from a USPTO Customer Service Representative or access to the automated

information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Nelson Yang/

Primary Examiner, Art Unit 1641